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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re application of: Matena et al.

Attorney Docket No.: SUN1P294

Application No.: 09/848,820

Examiner: Unknown

Filed: May 3, 2001

Group: 2151

Title: METHOD AND APPARATUS FOR
IMPLEMENTING STATE MACHINES AS
ENTERPRISE JAVABEAN COMPONENTS

Confirmation No.: 7908

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 17, 2003.

Signed: _____

Laura M. Dean

**INFORMATION DISCLOSURE STATEMENT WITH STATEMENT
UNDER 37 CFR §§1.56 AND 1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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OCT 21 2003

Technology Center 2100

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding PCT application. A copy of the International Search Report which was dated September 24, 2003 is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Statement under 37 CFR § 1.97(e)

The undersigned hereby states that each reference enclosed herewith were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (37 CFR §1.97(e)(1)).

Statement under 37 CFR § 1.704(d)

This communication from a foreign patent office in a counterpart foreign application was not received by an individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of this information disclosure statement.

Accordingly, it is respectfully submitted that no fee is due in conjunction with the filing of this IDS. However, should the Commissioner determine that additional fees are required for filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 500388 (Order No. SUN1P294).

Respectfully submitted,
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